

## STANDARD COMMERCIAL FISHING LICENSE (SCFL) OR

# RETIRED STANDARD COMMERCIAL FISHING LICENSE (RSCFL) TRANSFER APPLICATION INSTRUCTIONS

This application is to be completed and signed by individuals who are transferring a Standard Commercial Fishing License. Both the **Transfer-To** and the **Transfer-From** participants are to complete, sign and notarize this application. Businesses requesting a license must have the Responsible Party (business agent) complete and sign the application. The Responsible Party is the person who coordinates, supervises or otherwise directs operations of a business entity, such as a corporate officer or executive-level supervisor of business operations and is the person responsible for use of the issued License in compliance with applicable laws and regulations. Individuals applying for a license for another under the authority of Power of Attorney must submit a **PHOTOCOPY** of the Power of Attorney and current picture identification.

Single vessel corporations must provide Master of the Vessel information. By doing this, single vessel corporations do not have to assign the Standard Commercial Fishing License, but if the Master of the Vessel changes, you must notify the Division with 5 days.

### 1. Transfer Requirements

A Standard Commercial Fishing License or Retired Standard Commercial Fishing License can only be transferred if both the **Transfer-From** and the **Transfer-To** have no current license suspensions or revocations

If transferring is because of retirement from commercial fishing, the **Transfer-From** must submit evidence of retirement. Examples are:

#### A. Retirement

- 1. Evidence of the transfer of all licensee's SCFL/RSCFL.
- 2. Sale of all licensees' commercial fishing registered vessels.
- 3. Discontinuation of any active involvement in commercial fishing.

#### B. In case of death of the license holder, the license can be transferred to the following:

- 1. To the Administrator or Executor of the estate.
- 2. From the Administrator or Executor of the estate to an immediate family member (mother, father, brother, sister, spouse, child, stepparent, stepbrother, stepsister, stepchild, grandparent, grandchild or legal guardian of the deceased).
- 3. From the immediate family member of the deceased to a third-party purchaser of the deceased licensee's fishing vessel.
- \* The Administrator/Executor must provide a copy of the deceased licensee's Death Certificate, a **PHOTOCOPY** of the Certificate of Administration and a list of eligible immediate family members to the Morehead City License Office.

The Standard Commercial Fishing or Retired Standard Commercial Fishing License Transfer Application must have the following:

- 2. Information on the **Transfer-From** to be completed on the application
  - A. Information on the Standard or Retired Commercial Fishing License (Transfer-From) holder:
    - 1. Participant Identification Number. This number is listed to the right of the word *Participant #* on your license.
    - 2. Standard or Retired Commercial Fishing License holder's name (First, Middle, Last, Suffix).
    - 3. Standard or Retired Commercial Fishing License number to be transferred. This number is printed on the license to the right of the words *Retired or Standard Commercial Fishing License*.
  - B. Transfer of Landings History (check one only)
    - 1. At the time of transfer, the **Transfer-From** must indicate retainment <u>or</u> transfer of commercial fishery landings history associated with that SCFL or RSCFL.
    - 2. The **Transfer-From** can <u>only</u> retain the landings history if the **Transfer-From** holds an additional SCFL or RSCFL and must indicate the additional SCFL or RSCFL number to associate to landings. If the **Transfer-To** does not have an additional SCFL or RSCFL, the landings will be transferred to the **Transfer-To**.
    - 3. Transfer of landings history is all or none.
    - \* If select No, indicate the additional SCFL/RSCFL number that you have to associate the historical landings to.
  - C. Check one only (Reason for Transfer)
    - 1. Deceased To Administrator/Executor
    - 2. Deceased From Administrator/Executor to Immediate Family.
    - 3. Deceased From Immediate Family to third-party purchaser of the deceased licensee's fishing vessel.

- 4. Retirement from commercial fishing (must provide evidence see above 1.A.)
- 5. Immediate family member see family defined as above in **1.B.2**
- 3. Information on the **Transfer-To** on the application
  - A. Individual applicants, Responsible Party (business agents) for business applicants must provide a **PHOTOCOPY** of <u>one</u> of the following current picture identifications:
    - 1. Driver's License; or
    - 2. State Identification (issued by DMV); or
    - 3. Military Identification; or
    - 4. Passport; or
    - 5. Resident Alien Card (green card)
  - B. If you are applying as a business, you <u>must</u> provide
    - 1. If incorporated, a **PHOTOCOPY** of Articles of Incorporation and list of current corporate holders.
    - 2. If written agreement partnership, a PHOTOCOPY of written agreement.
    - 3. If <u>not</u> incorporated or written partnership, a **PHOTOCOPY** of current Assumed Name Statements, if filed, and a **PHOTOCOPY** of business privilege tax certificates, if applicable.
  - C. Endorsements (optional)
    - 1. Only <u>individual</u> North Carolina residents are eligible for the Shellfish Endorsement (Businesses are not eligible for the Shellfish Endorsement.)
  - D. Proof of Residency of **Transfer-To**

If you have moved to the state of North Carolina and have resided within the state for 60 days to six months and consider North Carolina as your permanent residence, you are required to complete the Certification of Eligibility for North Carolina Residency/Domicile Form and provide a photocopy of one of the following documents:

- 1. North Carolina Voter Registration Card; or
- 2. North Carolina State Identification Card; or
- 3. North Carolina Driver's License; or
- 4. Military Identification Card
- E. The **Transfer-To** and **Transfer-From** participants sign the application.
- F. Certification Statement Form For Transfers completed, signed and notarized by the **Transfer-To and Transfer-From** Applicant and notarized. Certification form (A) is for when both parties are present at a notary. Certification form (B) is for when both parties cannot be present at the same in front of one notary and a second notary is required.
- G. <u>Fees</u>:
  - 1. The **Transfer-To** must pay a replacement fee of \$10.00 AND
  - 2. The **Transfer-To** must pay the difference in fees between:
    - a. When the **Transfer-To**, who is a non-resident, is being transferred a resident SCFL or RSCFL, he/she must pay the difference in fees of North Carolina and the non-resident state fees. Refer to the non-resident state fees for SCFL/RSCFL. It is recommended to visit or call a DMF License Office for the proper fees.
- H. The Transfer-From is to surrender the SCFL/RSCFL to the NCDMF.

You are required to notify the Division of Marine Fisheries of any address or residency changes within 30 days. Incomplete applications submitted without required documentation will be deemed incomplete and returned to you unprocessed. If vessel Master changes, you must file an updated application with the Division of Marine Fisheries within 5 days.

Mail to: NCDMF License
Office PO Box 769
Morehead City, NC 28557

# Application To Transfer Standard Commercial Fishing License (SCFL) or Retired Standard Commercial Fishing License (RSCFL)

Check One: Transfer SCFL and (Shellfish endorsements caresidents)					individ	ual NC	(Shell		CFL and Endors ements can only	sement be transferred to	individual
Informat	ion to be Cor	npleted	by the Tran	sfer-From							
Participant I	.D. Fi	irst Name	Middle	e Name	Last	Name	Suffix	S	CFL/RSCFL N	umber to be Tr	ansferred
LANDINGS TI	_		No- List SCFL/RSCFL number to associate landings		SC.			_	efaults to yes, if RSCFL)	only have 1	
Immediate Family Member   Deceased – From Immediate Family to Third Party Purchases of Deceased – To Administrator/Executor   Deceased – From Administrator/Executor to Immediate Family   Retirement from Commercial Fishing (must provide evidence)											
ENDORSEMENTS: Shellfish YES/NO NC individual residents only, no businesses  NC RESIDENCY:											
Individual or Business A Participant I.D.					Middle	e Name	Last Name		e		Suffix
Check One: Driver's License No.  Number:		State I	State I.D. No. Military I.D.  Expire Date:			Jo. 🗌 I		Resident Alien I.D. No.		Passport No.	
Date of Birth		Primary	Primary Residence (State)				E-Mail Address				
/ /											
Race: Gender: Pl		Physic	Physical Address				Mailing Address				
	M / F										
Height Weight Address 1:					Address 1:						
Address 2:					Address 2:						
Eye Color Hair Color City:			State: Zip:				City:State:Zip:				
County: Country:						County: Country:					
Home Phone:			Business Phone:			Fax:			Cellular Phone:		
( ) -			( ) -			- (			( ) .	-	

## Business Participant Information (This section must be completed for the application of a license for use by a business)

Type of Busin	ess Entity (ci		on Partnership	Sole Proprietorship	LLC		
Participant I.D.		Business Name:		State of Inco	rporation: Charter State:		
Business Phone:		Cellular Phone:	Home Phone:	Fax:	E-mail Address:		
( ) -		( ) -	( ) -	( ) -			
Business Owner N	fame (F, M, L)	Physical Address		Mailing Address Check	k if same as physical address		
Business Owner N	Jame (F, M, L)	Address 1:		Address 1:			
Business Owner N	fame (F, M, L)	Address 2:		Address 2:			
Business Owner N	ame (F, M, L)	City: State:_	Zip:	City: State: Zip:			
		County:Count	ry	County:Count	ry		
Single Vessel Participant I.D.		ns Must Complete This Ser First Name	ction With the Vessel Ma	aster's Information  Last Name	Suffix		
CL LO							
Check One: Driver's License	No. □	State I.D. No.	Military I.D. No.	Resident Alien I.D. No.	Passport No.		
No.		Expire Date	many normal	Tresidential Principal Conference	Tacepeter .		
Date of Birth		Primary Residence		E-mail Address			
/ /							
Race:	Gender:	Physical Address		Mailing Address Check if same as physical address			
Height	M / F Weight	Address 1:		Address 1:			
		Address 2:		Address 2:			
Eye Color	Hair Color	City:State	e:Zip:	City: State: Zip:			
		County:Cou	intry	County:	Country		
Home Phone:		Business Phone:	Fax:	Cellula	r Phone:		
( ) -		( ) -	( ) -		-		
Economic Su	rvey (must b	EQUIRED ECONOMIC Some completed by Responsib					
Signature:	Т., С	To Cinnetons	Signatur	e:	N		
		To Signature signed to be valid	Date	Transfer-From Signature Date  Must be signed to be valid			





(Must be completed, signed, and notarized by the Transfer-To and Transfer-From Participants)

I, _	I,, certify that I ha	ave the authority to transfer the NC Division of Marine Fisheries (NCDMF)					
lice	license # currently issued in the name of	(list name printed on the license,					
		family member* of the licensee who is (what relation)					
*N0 ste	the licensee. *NC General Statute §113-168 defines immediate family as mother, father, t stepchildren. 15A NCAC 03O.108(H)(1) Grandparents, grandchildren, and I transferred license.	brothers, sisters, spouse, children, stepparents, stepbrothers, stepsisters, and legal guardians of an individual are eligible to transfer a license and to receive a					
	☐ Death of a licensee: (check only one box) ☐ I certify that I am	the Administrator or Executor of the deceased licensee's estate  OR					
		family* and this SCFL or RSCFL is being transferred with the sale of the se's vessel with State Registration or USCG Documentation #					
	Retirement of a licensee: I certify that I am retiring from comme	ercial fishing and this SCFL or RSCFL is being transferred with the sale of					
my	my vessel with State Registration or USCG Documentation #						
Се	Certification Statement (This section must be completed by the Tr	ransfer-To Participant)					
I, _	l,	, certify that:					
1.	All the information provided on this form and any supporting documentation provided is true, accurate, and complete. I understand that any false information or fraudulent disclosures may result in termination of appropriate licenses, permits and related documents, revocation or suspension of marine fisheries licensing and other privileges, and in possible criminal prosecution.						
2.	<ol> <li>I am a resident of the State of:</li></ol>	r that (check only one box):					
	☐ I have been a legal resident for more than six months,	or					
	If domiciled in North Carolina between 60 days and six Certificate of Eligibility for North Carolina Residency.	x months, I have completed and submitted with this application a notarized					
3.	<ol><li>If accepting a Standard or Retired Standard Commercial Fishin box):</li></ol>	g License as a North Carolina Resident, I also certify that (check only one					
	☐ I filed a North Carolina State Income Tax Return for th	e previous calendar or tax year.					
	☐ I was not required to file a North Carolina State Incom-	e Tax Return for the previous calendar or tax year.					
	I understand if any question arises concerning the filing of a North C records, as requested by the Division of Marine Fisheries.	Carolina State Income Tax Return, I may have to provide appropriate tax					
4.	4. For commercial fishing licenses, permits, endorsements, or reg						
	and the privilege to hold such licenses, permits, e	mits, endorsements, or registrations under suspension or revocation endorsements, or registrations is not revoked or suspended.  In any jurisdiction related to state or federal law or regulations case during the previous three years.					
5.		NC General Statute §113-221 requires NCDMF to provide a current copy of the rules governing activities authorized by the license that purchased. You have the right to request a current rulebook in hardbound or electronic format.					
6.	<ol><li>If the transfer is for a Standard or Retired Standard Commercia received the required harvester training.</li></ol>	Il Fishing License with a Shellfish Endorsement, I affirm that I have					
Da	Date Signed: Date	e Signed:					
Sig	Signature of <b>Transfer-To</b> Sign	nature of <b>Transfer-From</b>					
NO	NOTARY (All new applications must be notarized)						
Sta	State:County:						
Sw	Sworn to and Subscribed before me thisday of						
Not	Notary Public: My Commissio	n expires:					



(Must be completed, signed, and notarized by the Transfer-To and Transfer-From Participants)

I, _	, certify that I have the authority to transfer the NC Division of Marine Fisheries (NCDMF)						
lice	nse # currently issued in the name of (list name printed on the license).						
lice	I certify I am transferring this SCFL or RSCFL to an immediate family member* of the licensee who is (what relation) to the nsee.						
	General Statute §113-168 defines immediate family as mother, father, brothers, sisters, spouse, children, stepparents, stepbrothers, stepsisters, and stepchildren. NCAC 03O.108(H)(1) Grandparents, grandchildren, and legal guardians of an individual are eligible to transfer a license and to receive a transferred license.  Death of a licensee: (check only one box)   I certify that I am the Administrator or Executor of the deceased licensee's estate  OR						
	☐ I am immediate family* and this SCFL or RSCFL is being transferred with the sale of the deceased licensee's vessel with State Registration or USCG Documentation #						
	Retirement of a licensee: I certify that I am retiring from commercial fishing and this SCFL or RSCFL is being transferred with the sale of vessel with State Registration or USCG Documentation #						
Cer	rtification Statement (This section must be completed by the Transfer-To Participant)						
I, _	, certify that:						
1.	All the information provided on this form and any supporting documentation provided is true, accurate, and complete. I understand that any false information or fraudulent disclosures may result in termination of appropriate licenses, permits and related documents, revocation or suspension of marine fisheries licensing and other privileges, and in possible criminal prosecution.						
2.	I am a resident of the State of:  If claiming resident status in North Carolina, I certify further that (check only one box):  I have been a legal resident for more than six months, or  If domiciled in North Carolina between 60 days and six months, I have completed and submitted with this application a notarized Certificate of Eligibility for North Carolina Residency.						
3. box	If accepting a Standard or Retired Standard Commercial Fishing License as a North Carolina Resident, I also certify that (check only one c):  I filed a North Carolina State Income Tax Return for the previous calendar or tax year.  I was not required to file a North Carolina State Income Tax Return for the previous calendar or tax year.						
	nderstand if any question arises concerning the filing of a North Carolina State Income Tax Return, I may have to provide appropriate tax ords, as requested by the Division of Marine Fisheries.						
4.	For commercial fishing licenses, permits, endorsements, or registrations:  a) I currently have no marine fisheries licenses, permits, endorsements, or registrations under suspension or revocation and the privilege to hold such licenses, permits, endorsements, or registrations is not revoked or suspended.  b) I have not been convicted of four or more violations in any jurisdiction related to state or federal law or regulations involving or related to marine or estuarine resources during the previous three years.						
5.	NC General Statute §113-221 requires NCDMF to provide a current copy of the rules governing activities authorized by the license that is purchased. You have the right to request a current rulebook in hardbound or electronic format.						
6. T	If the transfer is for a Standard or Retired Standard Commercial Fishing License with a Shellfish Endorsement, I affirm that I have received the required harvester training.						
Па	nsfer-To: Transfer-From:						
	Date Signed: Date Signed:						
	Signature of Transfer-To         Signature of Transfer-From           NOTARY         NOTARY           State: County         State: County						
	I,, Notary Public, do hereby I,, Notary Public, do hereby						
	certify thatpersonally appeared certify thatpersonally appeared						
	before me this day, acknowledging to me that he/she voluntarily signed before me this day, acknowledging to me that he/she voluntarily signed						
	the foregoing document for the purpose stated therein and in the the foregoing document for the purpose stated therein and in the						
	capacity indicated. WITNESS my hand and seal, capacity indicated. WITNESS my hand and seal,						
	this the day of, 20 this the day of, 20						
	Notary Public Notary Public						
	My Commission Expires My Commission Expires						